JC03 Rec'd PCT/PTO 02 MAY 2005

PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007 OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER 6400-<u>0</u>043WOUS **DESIGNATED/ELECTED OFFICE (DO/EO/US)** PLICATION NO. (1) known (see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/DE2003/003642 3 November 2003 27 November 2002 TITLE OF INVENTION CARD+HOLDING DEVICE IN A CARD-PROCESSING APPARATUS APPLICANT(S) FOR DO/EO/US <u>Lutz Neubauer et</u> al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). x has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. **K** An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. K Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. لعا .13 A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. 15. K A substitute specification. and marked-up specification 16. X A power of attorney and/or change of address letter. 17. I A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

20.

Other items or information:

Page 1 of 2

JC20 Rec'd PCT/PTO 0 2 MAY 2005

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U.S. APPLICATION NO. (if known, see 37 CFR T.S) INTERNATIONAL APPLICATION NO. PCT/DE2003/003642					ATTORNEY'S DOCKET NUMBER 6400-0043WOUS	
The following fees have been submitted					CALCULATIONS	PTO USE ONLY
21. 🔀 Basic national fee\$300					\$	1
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$500.00	
TOTAL OF 21, 22 and 23 =					\$1 000 00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)			·	
- 100 =	/50 =			× \$250	s 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	13	- 20 =	0	× \$ 50	\$ 0	
Independent clain	ns	- 3 =	0	× \$200	\$ 0	
MULTIPLE DEPE	NDENT CLAIM(S) (if applicable)	0	+ \$360	\$ 0	
TOTAL OF ABOVE CALCULATIONS =					\$1,000.00	
Applicant clai	ms small entity st	atus. See 37 CFR	1.27. Fees above are redu		.,,000,00	-
SUBTOTAL =					\$ 1 000 00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	
TOTAL NATIONAL FEE =					\$ 1,000,00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00	
TOTAL FEES ENCLOSED =					\$1,040.00	
					Amount to be refunded:	\$
					Amount to be charged:	\$
a. A check in the amount of \$1,040.00 to cover the above fees is enclosed.						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.503342. A duplicate copy of this sheet is enclosed.						
d. Fees are be included	to be charged to ded on this form	a credit card. WA . Provide credit ca	RNING: Information on this ard information and authorize	form may become publ ation on PTO-2038.	ic. Credit card informat	ion should not
NOTE: Where an and grapted to re	appropriate time store the Interna	limit under 37 C	FR 1.495 has not been me in to pending status.	et, a petition to revive	(37 CFR 1.137(a) or (b)	must be filed
SEND ALL CORRESPONDENCE TO:						
	Duffy Gr	· ~ ~ ~ ~ ~	·			
Centerpoint. 306 Industrial Park Road, Suite 206 Righard R. Michaud 5/02/05						
306 Industrial Park Road, Suite 206 Richard R. Michaud 5/02/09 Middletown, CT 06457-1532						
Tel: (860) 632-7200 40.088 REGISTRATION NUMBER Page 2 of 2						
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Attorney Docket No. 6400-0043WOUS

ENGLISH TRANSLATION OF THE INTERNATIONAL APPLICATION AS FILED

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